

Insider Information by Issuer - View Results

2022-03-11 15:44 ET

Issuer name : spectral medical (Starts with)

Legend: Insider's Relationship to Issuer: 1 - Issuer, 2 - Subsidiary of Issuer, 3 - 10% Security Holder of Issuer, 4 - Director of Issuer, 5 - Senior Officer of Issuer, 6 - Director or Senior Officer of 10% Security Holder, 7 - Director or Senior Officer of Insider or Subsidiary of Issuer (other than in 4,5,6), 8 - Deemed Insider - 6 Months before becoming Insider.

Issuer Name: Spectral Medical Inc.

Date of Last Reported Transaction(YYYY-MM-DD)	Security Designation	Registered Holder	Closing Balance	Insider's calculated balance	Closing balance of equivalent number or value of underlying securities
Insider Name: Bihl, Anthony Phillip					
Insider Relationship: 4 - Director of Issuer					
Ceased to be Insider: Not Applicable					
2022-02-15	Common Shares		1,048,530		
2022-02-28	Deferred Share Units (Common Shares)		109,375		109,375
2022-02-28	Options (Common Shares)		667,202		667,202
2021-07-27	Warrants (Common Shares)		211,765		211,765

Insider Name: BINNS, RON					
Insider Relationship: 4 - Director of Issuer					
Ceased to be Insider: 2005-09-14					
2004-01-19	Common Shares		35,000		
2004-03-23	Options (Common Shares)		15,000		15,000

Date of Last Reported Transaction(YYYY-MM-DD)	Security Designation	Registered Holder	Closing Balance	Insider's calculated balance	Closing balance of equivalent number or value of underlying securities
Insider Name: Birch Hill Equity Partners Management Inc.					
Insider Relationship: 3 - 10% Security Holder of Issuer					
Ceased to be Insider: Not Applicable					
2021-03-29	Common Shares	Birch Hill Equity Partners (Entrepreneurs) IV, LP	381,871		
2021-03-29	Common Shares	Birch Hill Equity Partners (US) IV, LP	21,338,644		
2021-03-29	Common Shares	Birch Hill Equity Partners IV, LP	14,489,502		
2021-03-17	Warrants (Common Shares)	Birch Hill Equity Partners (Entrepreneurs) IV, LP	0		0
2021-03-17	Warrants (Common Shares)	Birch Hill Equity Partners (US) IV, LP	0		0
2021-03-17	Warrants (Common Shares)	Birch Hill Equity Partners IV, LP	0		0

Insider Name: Brown, Richard William					
Insider Relationship: 6 - Director or Senior Officer of 10% Security Holder					
Ceased to be Insider: 2011-06-30					
2010-03-02	Common Shares		25,000		
2010-03-02	Warrants (Common Shares)		12,500		12,500

Insider Name: Businkas, Anthony					
Insider Relationship: 5 - Senior Officer of Issuer					
Ceased to be Insider: 2018-01-31					
2017-12-29	Common Shares		75,000		
2017-06-01	Options (Common Shares)		1,032,120		1,032,120

Date of Last Reported Transaction(YYYY-MM-DD)	Security Designation	Registered Holder	Closing Balance	Insider's calculated balance	Closing balance of equivalent number or value of underlying securities
Insider Name: Canadian Medical Discoveries Fund II Inc.					
Insider Relationship: 3 - 10% Security Holder of Issuer					
Ceased to be Insider: 2009-05-22					
2009-05-22	Common Shares		0		
2009-05-22	Notes		0		
2009-05-22	Warrants (Common Shares)		0		0
Insider Name: Canadian Medical Discoveries Fund Inc.					
Insider Relationship: 3 - 10% Security Holder of Issuer					
Ceased to be Insider: 2009-05-22					
2009-05-22	Common Shares		0		
2009-05-22	Notes		0		
2009-05-22	Warrants (Common Shares)		0		0
Insider Name: Cross, Michael Collins					
Insider Relationship: 4 - Director of Issuer					
Ceased to be Insider: 2010-08-10					
2010-03-02	Options (Common Shares)		125,000		125,000
Insider Name: D'Alvise, Janelle					
Insider Relationship: 4 - Director of Issuer					
Ceased to be Insider: Not Applicable					
2022-02-28	Options (Common Shares)		300,952		300,952

Date of Last Reported Transaction(YYYY-MM-DD)	Security Designation	Registered Holder	Closing Balance	Insider's calculated balance	Closing balance of equivalent number or value of underlying securities
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Insider Name: Demas, Steve
Insider Relationship: 5 - Senior Officer of Issuer
Ceased to be Insider: 2011-10-12

2011-10-12	Common Shares		0		
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Insider Name: FLOWERS, LEE D.
Insider Relationship: 4 - Director of Issuer
Ceased to be Insider: Not Applicable

2003-11-21	Common Shares		35,400		
2006-05-01	Options (Common Shares)		140,000		140,000

Insider Name: Foster, Debra-Anne
Insider Relationship: 8 - Deemed Insider - 6 Months before becoming Insider
Ceased to be Insider: Not Applicable

2020-02-11	Common Shares		162,178		
2022-02-28	Options (Common Shares)		590,993		590,993
2021-03-01	Restricted Share Units (Common Shares)		78,125		78,125

Insider Name: FRIESEN, HENRY
Insider Relationship: 4 - Director of Issuer
Ceased to be Insider: 2010-05-20

2010-03-02	Options (Common Shares)		235,000		235,000
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Date of Last Reported Transaction(YYYY-MM-DD)	Security Designation	Registered Holder	Closing Balance	Insider's calculated balance	Closing balance of equivalent number or value of underlying securities
Insider Name: Giese, Clifford Duncan					
Insider Relationship: 6 - Director or Senior Officer of 10% Security Holder					
Ceased to be Insider: 2011-06-30					
2010-03-02	Common Shares		500,000		
2010-03-02	Common Shares	Rycor Holdings Ltd.	500,000		
2010-03-02	Warrants (Common Shares)		250,000		250,000
2010-03-02	Warrants (Common Shares)	Rycor Holdings Ltd.	250,000		250,000
Insider Name: Giese, Kevin Arnold					
Insider Relationship: 4 - Director of Issuer					
Ceased to be Insider: 2021-06-03					
2021-03-12	Common Shares		3,626,336	3,626,336	
2015-05-14	Common Shares	Queensbury Ventures Inc.	1,169,571		
2014-07-25	Common Shares	RRSP	136,519		
2021-02-01	Options (Common Shares)		400,000	400,000	400,000
2021-03-12	Warrants (Common Shares)		0	0	0
2014-09-02	Warrants (Common Shares)	Queensbury Ventures Inc.	0		0
Insider Name: Giese, Ryan					
Insider Relationship: 6 - Director or Senior Officer of 10% Security Holder					
Ceased to be Insider: 2011-06-30					
2010-03-02	Common Shares		200,000		
2010-03-02	Warrants (Common Shares)		100,000		100,000

Date of Last Reported Transaction(YYYY-MM-DD)	Security Designation	Registered Holder	Closing Balance	Insider's calculated balance	Closing balance of equivalent number or value of underlying securities
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Insider Name: Growth Works Capital Ltd. & Affiliates
Insider Relationship: 3 - 10% Security Holder of Issuer
Ceased to be Insider: 2011-03-25

2010-03-02	Common Shares	GrowthWorks Atlantic Venture Fund Ltd.	3,125,000		
2011-03-25	Common Shares	GrowthWorks Canadian Fund Ltd.	0		
2010-01-15	Common Shares	Mavrix Small Companies Fund	0		
2010-01-15	Common Shares	Mavrix Strategic Small Cap Fund	0		
2010-12-31	Notes	GrowthWorks Canadian Fund Ltd.	0		
2010-09-09	Options (Common Shares)	Cross, Michael Collins	0		0
2010-03-02	Warrants (Common Shares)	GrowthWorks Atlantic Venture Fund Ltd.	1,562,500		1,562,500
2011-03-25	Warrants (Common Shares)	GrowthWorks Canadian Fund Ltd.	0		0

Insider Name: GrowthWorks Canadian Fund Ltd.
Insider Relationship: 3 - 10% Security Holder of Issuer
Ceased to be Insider: 2011-03-25

2011-03-25	Common Shares		0		
2010-12-31	Notes		0		
2010-09-09	Options (Common Shares)	Cross, Michael Collins	0		0
2011-03-25	Warrants (Common Shares)		0		0

Date of Last Reported Transaction(YYYY-MM-DD)	Security Designation	Registered Holder	Closing Balance	Insider's calculated balance	Closing balance of equivalent number or value of underlying securities
Insider Name: Guadagni, Gualtiero Piero Guido Maria					
Insider Relationship: 5 - Senior Officer of Issuer					
Ceased to be Insider: Not Applicable					
2020-02-14	Common Shares		124,000		
2020-06-18	Common Shares	RRSP	130,650		
2021-03-01	Options (Common Shares)		802,519		802,519
2021-03-01	Restricted Share Units (Common Shares)		117,188		117,188
2020-06-18	Warrants (Common Shares)	RRSP	8,350		8,350

Insider Name: Hayakawa, Jun
Insider Relationship: 4 - Director of Issuer
Ceased to be Insider: Not Applicable

Insider Name: Herrera, Guillermo Alfonso
Insider Relationship: 4 - Director of Issuer
Ceased to be Insider: 2020-06-04

2016-02-18	Common Shares		425,000		
2020-02-26	Options (Common Shares)		432,500		432,500

Insider Name: Hesby, Anton Samuel
Insider Relationship: 6 - Director or Senior Officer of 10% Security Holder
Ceased to be Insider: 2013-08-31

2011-09-09	Common Shares		326,280		
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Date of Last Reported Transaction(YYYY-MM-DD)	Security Designation	Registered Holder	Closing Balance	Insider's calculated balance	Closing balance of equivalent number or value of underlying securities
2011-11-03	Common Shares	Austin Hesby in Trust	3,693		
2012-05-18	Options (Common Shares)		30,000		30,000
2010-03-02	Warrants (Common Shares)		10,000		10,000

Insider Name: Johnston, Brent Douglas
Insider Relationship: 6 - Director or Senior Officer of 10% Security Holder
Ceased to be Insider: 2012-03-26

2012-01-04	Common Shares		204,714		
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Insider Name: KAUSHAL, NITIN
Insider Relationship: 6 - Director or Senior Officer of 10% Security Holder
Ceased to be Insider: 2013-11-06

2013-11-06	Common Shares		0		
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Insider Name: KEFFER, JOSEPH H.
Insider Relationship: 5 - Senior Officer of Issuer
Ceased to be Insider: Not Applicable

2004-09-30	Options (Common Shares)		90,000		90,000
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Insider Name: Kellum, John
Insider Relationship: 5 - Senior Officer of Issuer
Ceased to be Insider: Not Applicable

2021-07-27	Common Shares		58,824		
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2022-02-28	Options (Common Shares)		974,148		974,148
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Date of Last Reported Transaction(YYYY-MM-DD)	Security Designation	Registered Holder	Closing Balance	Insider's calculated balance	Closing balance of equivalent number or value of underlying securities
2022-02-28	Performance Share Units (Common Shares)		3,000,000		3,000,000
2022-02-28	Restricted Share Units (Common Shares)		312,500		312,500
2021-07-27	Warrants (Common Shares)		29,412		29,412

Insider Name: Kennedy, Michael Patrick
Insider Relationship: 6 - Director or Senior Officer of 10% Security Holder
Ceased to be Insider: Not Applicable

2010-03-02	Common Shares		62,500		
2010-03-02	Warrants (Common Shares)		31,250		31,250

Insider Name: KOYLE, WILLIAM L.
Insider Relationship: 4 - Director of Issuer
Ceased to be Insider: 2005-09-14

2004-03-17	Common Shares		54,223		
2004-11-19	Options (Common Shares)		60,000		60,000

Insider Name: Lennox, R. Ian
Insider Relationship: 4 - Director of Issuer
Ceased to be Insider: 2013-08-01

2013-02-07	Options (Common Shares)	CIBC investor's Edge	350,000		350,000
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Date of Last Reported Transaction(YYYY-MM-DD)	Security Designation	Registered Holder	Closing Balance	Insider's calculated balance	Closing balance of equivalent number or value of underlying securities
Insider Name: McCormack, Edward					
Insider Relationship: 4 - Director of Issuer					
Ceased to be Insider: 2013-08-01					
2009-12-23	Common Shares		2,500		
2013-02-07	Options (Common Shares)		350,000		350,000
Insider Name: McDOUGALL, DONALD J.					
Insider Relationship: 4 - Director of Issuer					
Ceased to be Insider: 2004-09-15					
2004-03-29	Common Shares		97,400		
2004-03-06	Common Shares	BURLINGTON HOLDINGS	0		
2004-03-04	Common Shares	Marion McDougall	0		
2004-05-23	Options (Common Shares)		110,000		110,000
2003-07-31	Warrants (Common Shares)		0		0
Insider Name: Medwell Capital Corp.					
Insider Relationship: 3 - 10% Security Holder of Issuer					
Ceased to be Insider: 2015-01-02					
2015-01-02	Common Shares		949,431		
2015-01-02	Common Shares	Medwell Healthcare Investments LP	0		
2013-12-06	Warrants (Common Shares)		991,328		991,328

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Insider Name: Nosenzo, John Ernest
Insider Relationship: 4 - Director of Issuer
Ceased to be Insider: Not Applicable

2021-06-08	Common Shares		25,000		
2022-02-28	Deferred Share Units (Common Shares)		109,375		109,375
2022-02-28	Options (Common Shares)		400,952		400,952

Insider Name: PAPASTAMOS, ROSE
Insider Relationship: 5 - Senior Officer of Issuer
Ceased to be Insider: 2005-03-01

2004-08-27	Common Shares		100		
2004-08-27	Options (Common Shares)		142,500		142,500

Insider Name: Peterson, Gordon Ernest
Insider Relationship: 4 - Director of Issuer
Ceased to be Insider: 2010-05-20

2010-03-02	Options (Common Shares)		200,000		200,000
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Insider Name: Politeski, Gordon John
Insider Relationship: 6 - Director or Senior Officer of 10% Security Holder
Ceased to be Insider: Not Applicable

2011-09-09	Common Shares		59,645		
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Date of Last Reported Transaction(YYYY-MM-DD)	Security Designation	Registered Holder	Closing Balance	Insider's calculated balance	Closing balance of equivalent number or value of underlying securities
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Insider Name: PRIEBE, MARK L.
Insider Relationship: 5 - Senior Officer of Issuer
Ceased to be Insider: Not Applicable

2003-12-03	Options (Common Shares)		300,000		300,000
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Insider Name: RAY, DAVID
Insider Relationship: 5 - Senior Officer of Issuer
Ceased to be Insider: 2005-12-23

2004-04-28	Common Shares		11,978		
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2004-04-28	Options (Common Shares)		92,000		92,000
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Insider Name: Reich, Tamara
Insider Relationship: 6 - Director or Senior Officer of 10% Security Holder
Ceased to be Insider: Not Applicable

2013-12-06	Warrants (Common Shares)		12,752		12,752
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Insider Name: Sawchyn, Will
Insider Relationship: 6 - Director or Senior Officer of 10% Security Holder
Ceased to be Insider: Not Applicable

2013-12-06	Warrants (Common Shares)		10,200		10,200
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Insider Name: Seto, Chris
Insider Relationship: 5 - Senior Officer of Issuer
Ceased to be Insider: Not Applicable

2021-08-16	Common Shares		474,870		
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2022-02-28	Options (Common Shares)		2,609,271		2,609,271
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Date of Last Reported Transaction(YYYY-MM-DD)	Security Designation	Registered Holder	Closing Balance	Insider's calculated balance	Closing balance of equivalent number or value of underlying securities
2022-02-28	Performance Share Units (Common Shares)		3,000,000		3,000,000
2022-02-28	Restricted Share Units (Common Shares)		914,653		914,653
2021-07-27	Warrants (Common Shares)		104,935		104,935

Insider Name: Spectral Medical Inc.

Insider Relationship: 1 - Issuer

Ceased to be Insider: Not Applicable

2015-03-13	Common Shares		0		
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Insider Name: Stevens, William Charles

Insider Relationship: 4 - Director of Issuer

Ceased to be Insider: Not Applicable

2022-02-14	Common Shares		521,795		
2022-02-28	Deferred Share Units (Common Shares)		109,375		109,375
2022-02-28	Options (Common Shares)		605,702		605,702
2021-04-09	Warrants (Common Shares)		83,432		83,432

Insider Name: STILLER, CALVIN R.

Insider Relationship: 4 - Director of Issuer

Ceased to be Insider: 2006-03-30

2000-04-07	Common Shares	STILCO INVESTMENTS	248,334		
2004-05-23	Options (Common Shares)		140,000		140,000
2003-07-31	Warrants (Common Shares)	STILCO INVESTMENTS	0		0

Date of Last Reported Transaction(YYYY-MM-DD)	Security Designation	Registered Holder	Closing Balance	Insider's calculated balance	Closing balance of equivalent number or value of underlying securities
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Insider Name: Stroud, Randy Robert Steven
Insider Relationship: 6 - Director or Senior Officer of 10% Security Holder
Ceased to be Insider: 2011-06-30

2010-03-02	Common Shares		50,000		
2010-03-02	Warrants (Common Shares)		25,000		25,000

Insider Name: Toray Industries, Inc.
Insider Relationship: 3 - 10% Security Holder of Issuer
Ceased to be Insider: Not Applicable

2016-02-12	Common Shares		45,630,105		
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Insider Name: Verhagen, Robert
Insider Relationship: 4 - Director of Issuer
Ceased to be Insider: 2012-07-10

2009-10-09	Common Shares		25,000		
2012-01-17	Options (Common Shares)		605,000		605,000

Insider Name: WALKER, PAUL M.
Insider Relationship: 5 - Senior Officer of Issuer
Ceased to be Insider: Not Applicable

2020-02-12	Common Shares		400,000		
2016-09-22	Common Shares	1464672 ONTARIO LTD.	0		
2018-02-12	Common Shares	2537716 Ontario Inc.	1,464,096		

Date of Last Reported Transaction(YYYY-MM-DD)	Security Designation	Registered Holder	Closing Balance	Insider's calculated balance	Closing balance of equivalent number or value of underlying securities
2022-02-28	Deferred Share Units (Common Shares)		109,375		109,375
2022-02-28	Options (Common Shares)		2,747,257		2,747,257
2021-03-01	Restricted Share Units (Common Shares)		273,438		273,438
2003-07-31	Warrants (Common Shares)		0		0

Insider Name: Wassenaar, Willem

Insider Relationship: 4 - Director of Issuer

Ceased to be Insider: 2006-09-14

2000-04-07	Common Shares	Hemar Inc.	183,332		
2006-05-07	Options (Common Shares)		140,000		140,000
2003-07-31	Warrants (Common Shares)	Hemar Inc.	0		0

Insider Name: Wetherell Jr., John Raymond

Insider Relationship: 6 - Director or Senior Officer of 10% Security Holder

Ceased to be Insider: Not Applicable

2011-09-09	Common Shares		38,770		
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Insider Name: Wieland, Richard

Insider Relationship: 5 - Senior Officer of Issuer

Ceased to be Insider: 2019-07-31

2018-02-13	Options (Common Shares)		75,000		75,000
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Date of Last Reported Transaction(YYYY-MM-DD)	Security Designation	Registered Holder	Closing Balance	Insider's calculated balance	Closing balance of equivalent number or value of underlying securities
Insider Name: Woollard, Laine Munroe					
Insider Relationship: 4 - Director of Issuer					
Ceased to be Insider: 2014-09-23					
2014-07-25	Common Shares		244,648		
2014-01-03	Options (Common Shares)		275,000		275,000
2010-03-02	Warrants (Common Shares)		12,500		12,500